PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

ſ	(51) International Patent Classification 6:		(11) International Publication Number:	WO 98/38981
	A61K 7/48, A01N 25/04	A1	(43) International Publication Date: 1	1 September 1998 (11.09.98)
	(21) International Application Number: PCT/	US98/039	BY, CA, CH, CN, CZ		I, AT, AU, AZ, BA, BB, BG, BR. DK, EE, ES, FI, GB, GE, GH.
	(22) International Filing Date: 2 March 199	8 (02.03.	98)	GM, GW, HU, ID, IL, IS, JP, LR, LS, LT, LU, LV, MD, MO	G, MK, MN, MW, MX, NO.

US

US

(71) Applicant: PENNZOIL PRODUCTS COMPANY [US/US]; 700 Milan Street, Houston, TX 77002 (US).

7 March 1997 (07.03.97)

15 January 1998 (15.01.98)

(72) Inventors: MORRISON, David, S.; 23 Grey Birch Place, The Woodlands, TX 77381 (US). LU, Lin; Apartment 2009, 2100 Tanneyhill, Houston, TX 77008 (US). RAY, Robert, H.; 13445 Ella Boulevard #813, Houston, TX 77014 (US).

(74) Agents: PRICE, Robert, L. et al.; McDermott, Will & Emery, Suite 300, 99 Canal Center Plaza, Alexandria, VA 22314 1) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: HYDROCARBON GELS AS SUSPENDING AND DISPERSING AGENTS AND PRODUCTS

(57) Abstract

(30) Priority Data:

60/033,811

Not furnished

Hydrocarbon gels comprising from about 0.1 to about 50 percent on a weight basis of diblock, triblock, multiblock and/or radial block copolymers based on synthetic thermoplastic rubbers or mixtures, in a mixture with a hydrocarbon, one or more hydrocarbon-soluble substances, or mixtures thereof, which are useful as suspending or dispersing agents for solids and/or liquids.

9NSDOCID: <WO 9838981A1>

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

	Albania	ES	Spain	LS	Lesotho	Sŧ	Slovenia
AL		FL	Finland	LT	Lithuania	SK	Slovakia
AM	Annenia	FR	France	LU	Luxembourg	SN	Senegal
AT	Austria	GA	Gabon	LV	Larvia	SZ	Swaziland
AU	Australia	GB	United Kingdom	MC	Monaco	TD	Chad
ΑZ	Azerbaijan	GE	Georgia	MD	Republic of Moldova	TG	Togo
BA	Bosnia and Herzegovina	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BB	Barbados	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
B€	Belgium		Greece		Republic of Macedonia	TR	Turkey
BF	Burkina Faso	GR		ML	Mali	TT	Trinidad and Tobago
BG	Bulgaria	HU	Hungary	MN	Mongolia	. UA	Ukraine
BJ	Benin	1B	Ireland	MR	Mauritania	UG	Uganda
BR	Brazil .	(L	Israel	MW	Malawi	US	United States of America
BY	Belarus	IS	Iceland	MX	Mexico	UZ	Uzbekistan
CA	Canada	IT	Italy	NE	Niger	VN	Viet Nam
CF	Central African Republic	JP	Japan	NL	Netherlands	YU	Yugoslavia
CG	Congo	KE	Kenya	NO	Norway	zw	Zimbabwe
CH	Switzerland	KG	Kyrgyzsian		New Zealand		
CI	Côte d'Ivoire	KP	Democratic People's	NZ	Poland		
CM	Cameroon		Republic of Korea	PL			
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ ·	Kazakstan	RO	Romania Russian Federation		
CZ	Czech Republic	LC	Saint Lucia	RU			
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 98/38981 PCT/US98/03978

HYDROCARBON GELS AS SUSPENDING AND DISPERSING AGENTS AND PRODUCTS

Cross Reference to Related Applications

This application claims the priority of Provisional Application Serial No. 60/033,811, filed March 7, 1997.

Field of the Invention

The invention relates to heterophase, thermally reversible hydrocarbon gels which are suitable for use as suspending and dispersing agents. The suspending and dispersing agents of the invention employ a hydrocarbon gel which contains block copolymers, the copolymers being preferably derived from styrene-rubber block units.

Background of the Invention

Various types of gel products are known in the art. U.S. Patent No. 5,221,534 of Applicants' Assignee, for example, discloses gel compositions which contain one or more health and beauty aid components wherein the gel comprises a hydrocarbon oil and a blend of at least two different polymers selected from the group consisting of diblock and triblock polymers. The hydrocarbon oils disclosed in this patent are indicated as having characteristics which would cause them to remain liquid at temperatures ranging from 0°C up to about 200°C for almost all applications.

U.S. Patent No. 4,164,563 to Chang discloses compositions for topical application to the skin which are indicated as being greasy occlusive viscous bases comprising a mixture of from 40-90% of a greasy viscous base and from 10-60% of a solid non-irritating ointment forming powder. Other ingredients such as

10

15

20

25

10

15

20

25

30

35

colorants can also be included in the composition. The composition can also contain a thickening agent which can be an unvulcanized elastomeric block polymer.

U.S. Patent Number 5,221,534 discloses gels comprising a mineral oil and blends of copolymers and including health and beauty aid components.

PCT Patent Application No. W088/00603 of Francis et al. describes block copolymer compositions which are described as gels or gelloid liquid extended polymer compositions which comprise an intimate mixture of a block copolymer containing relatively hard blocks and relatively elastomeric blocks. A suitable block copolymer is Kraton 1651, a triblock copolymer. The copolymer additionally contains at least 500 parts by weight of extender liquid per 100 parts of the block copolymer, the liquid being present to extend and soften the elastomeric blocks of the block copolymer.

European Patent Application No. 224389 of Gamarra et al. discloses styrene-diene block copolymer compositions and in particular a mixture of triblock copolymers and a hydrocarbon oil. These compositions are useful as sealing materials.

U.S. Patent Number 4,369,284 describes a transparent gel prepared from triblock copolymers and oils useful as molded products. The triblock copolymers used therein receive specific styrene end blocks to ethylene and butylene center blocks. The end block to center block ratio is given as being between 31:69 and 40:60.

Summary of the Invention

It is accordingly one object of this invention to provide heterophase, thermally reversible hydrocarbon gel compositions that have advantageous properties when used as a vehicle to suspend or disperse solids and liquids therein.

10

15

20

25

30

35

A further object of the invention is to provide hydrocarbon gel compositions formed with certain diblock, triblock, radial block and/or multiblock copolymers which have advantageous properties when used as a suspension agent for various solids and non-hydrocarbon liquids.

Other objects and advantages of the present invention will become apparent as the description of the invention proceeds.

In satisfaction of the foregoing objects and advantages, the present invention provides in one embodiment, a thickened and gelled hydrocarbon having solids and/or liquids suspended therein. The thickened and gelled hydrocarbon composition comprises:

- (a) from about 20 to about 95 weight percent of a hydrocarbon, and optionally one or more hydrocarbon-soluble substances;
- (b) from about 0.1 to about 50 weight percent of a diblock, triblock, radial block and/or multiblock copolymers, or blends thereof comprising from about 0 to about 100 weight percent of one or more diblock copolymers and from about 100 to about 0 weight percent of one or more triblock, radial block and/or multiblock copolymer; and
- (c) from about 0.01 to about 75 weight percent of one or more solids and/or non-hydrocarbon liquids suspended therein.

The hydrocarbon used in the composition preferably has a vapor pressure up to about 600 mm Hg at 20 °C.

Detailed Description of the Invention

The present invention is directed to new thickened and gelled hydrocarbon-containing, block copolymer gels having solids and/or non-hydrocarbon liquids suspended or dispersed therein. The gel compositions of the invention comprise a hydrocarbon, optionally one or more hydrocarbon-soluble substances,

one or more diblock copolymers, one or more triblock, radial block and/or multiblock copolymers, or a mixture thereof, and one or more solids or liquids suspended or dispersed therein.

The invention may accordingly be described as a gelled and thickened hydrocarbon comprising:

- (a) from about 20 to about 95 weight percent of a hydrocarbon, optionally containing one or more hydrocarbon-soluble substances, or mixtures thereof;
- (b) from about 0.1 to about 50 weight percent of a diblock, triblock, radial block and/or multiblock copolymers, or a blend thereof comprising from about 0 to about 100 weight percent of one or more diblock copolymer and from about 100 to about 0 weight percent of one or more triblock, radial block and/or multiblock copolymer; and
- (c) from about 0.01 to about 75 weight percent of one or more solids and/or non-hydrocarbon liquids suspended or dispersed therein.

In a preferred composition of the invention, the copolymers or blends thereof comprise from about 0.1 to about 25 weight percent, preferably from about 0.1 to about 10 weight percent, of one or more triblock, radial block and/or multiblock copolymers and from about 70 to about 99.9 weight percent of one or more diblock copolymers.

When formed into gels, the copolymers or blends thereof comprise from about 0.1 to about 50 weight percent of the total weight of the composition. Preferably the total weight of polymer contained in the hydrocarbon oil will range from about 0.5 to about 30 weight percent, more preferably 1 to 20 weight percent, though this preference may change depending upon the particulars of the application desired, as will be apparent to one skilled in the art.

The gel compositions of the invention are generally non-aqueous. However, water may be added to certain compositions to form emulsions, for example, for use as a spray, cream or lotion.

10

5

15

20

25

30

35

BNSDOCID: <WO 9838981A1>

Each of the diblock, triblock, radial block and/or multiblock copolymers used in the invention contains at least two thermodynamically incompatible By the expression thermodynamically segments. incompatible with respect to the polymers, it is meant that the polymer contains at least two incompatible segments, for example, at least one hard and one soft segment. In general, in a triblock polymer, the ratio of segments is one hard, one soft, one hard or an A-B-A copolymer. Diblock copolymers, on the other hand, are of the A-B type and sequential with respect to The multiblock and radial hard and soft segments. block copolymers can contain any combination of hard and soft segments, provided that there are both hard and soft characteristics. These copolymers are fully disclosed in U.S. Patent No. 5,221,534, the disclosure of which is incorporated herein by reference.

Commercially available thermoplastic rubber type polymers which are especially useful in forming the compositions of the present invention are sold under the trademark Kraton® by Shell Chemical Company. Kraton® rubber polymers are described as elastomérs which have an unusual combination of high strength and low viscosity and a unique molecular structure of linear diblock, triblock and radial copolymers. Each molecule of the Kraton® rubber is said to consist of block segments of styrene monomer units and rubber monomer and/or comonomer units. Each block segment may consist of 100 or more monomer or comonomer units. The most common structure for the triblock copolymer above-mentioned linear ABA block the styrene-butadiene-styrene (SBS) styrene-isoprene-styrene (SIS), which is the Kraton® D rubber series.

A second polymer of this general type is the Kraton® G series. This copolymer comprises a styrene-ethylenebutylene-styrene type (S-EB-S) structure. The Kraton® G series is preferred in the practice of the invention, as the copolymers of this series are

10

15

20

25

30

.10

15

20

25

30

35

hydrogenated and thus more thermally stable; that is, decomposition is less likely to occur during blending of the G series polymers with the hydrocarbon or hydrocarbon mixture (the D series polymers having unsaturation within the rubber block).

The Kraton® G rubbers are indicated as being compatible with paraffinic and naphthenic oils and the triblock copolymers are reported as taking up more than 20 times their weight in oil to make a product which can vary in consistency from a "Jello®" to a strong elastic rubbery material depending on the grade and concentration of the rubber. The ABA structure of the Kraton® rubber molecule has polystyrene endblocks and elastomeric midblocks.

A preferred triblock polymer is a triblock polymer of the Kraton® G type, in particular Kraton® G-1650. Kraton® G-1650 is an SEBS triblock copolymer which has a specific gravity of about 0.91, and is said to have a tensile strength of about 500 psi as measured by ASTM method D-412-tensile jaw tester separation speed 10 in/min. The styrene to rubber content of Kraton® G-1650 is said by the manufacturer to be about 29:71, and the Brookfield viscosity is about 8000 (toluene solution, cps at 77°F, 25%w). The Shore A hardness is about 75.

The diblock polymers include the AB type such as styrene-ethylenepropylene (S-EP) and styrene-ethylenebutylene (S-EB), styrene-butadiene (SB) and styrene-isoprene (SI). A preferred diblock copolymer is Kraton® G-1702.

formed into gels, the hydrocarbon, When optionally one or more hydrocarbon-soluble substances, or mixtures thereof, comprises from about 20 to about weight percent of the total weight of Preferably the total weight of the composition. hydrocarbon-soluble or more hydrocarbon, one substances, or mixtures thereof, contained in the composition will range from about 65 to about 95 weight percent, and more preferably will range from WO 98/38981 PCT/US98/03978

7

about 70 to about 93 weight percent. Most preferably the total weight of the hydrocarbon, one or more hydrocarbon-soluble substances, or mixtures thereof in the composition of the invention is about 50 weight percent, though this preference may change depending upon the particular application desired, as will be apparent to one skilled in the art.

While not being limited by theory, it is believed that generally the shorter carbon chain length of the hydrocarbon-soluble more one or hydrocarbon, substances, or mixtures thereof, the more volatile is According to the invention, the the hydrocarbon. more hydrocarbon-soluble hydrocarbon, one or substances, or mixtures thereof, is believed to act as a suspending agent or dispersant for the solid or liquid suspended or dispersed in the composition. hydrocarbon, optionally choosing a Thus. when including one or more hydrocarbon-soluble substances, for use in the invention, care must be taken to ensure that the hydrocarbon, or optionally one or more hydrocarbon-soluble substances, is of sufficient chain length to become suitably entwined with the polymer blend, such that a desired gel consistency can be obtained for the particular application intended. Under these considerations, hydrocarbon components useful in the practice of the invention are generally preferred to comprise at least about 5 carbon atoms, preferably at least 8 carron atoms, and less than about 60 carbon atoms, and which may also contain one or more functional groups selected from the group consisting of hydroxyl, carrexylic acid and carboxylic acid esters.

The hydrocarbons useful in the gels of the invention as defined above include, but are not limited to mineral oils, mineral solvents, mineral spirits, petroleum, waxes, synthetic hydrocarbons (oils and volatile solvents), animal oils, vegetable oils, and mixtures of these hydrocarbons. A preferred hydrocarbon for use in the invention is a white

5

10

15

20

25

30

mineral oil sold by Penreco, a subsidiary of Pennzoil Products Company, under the tradename Drakeol.

As noted, there may also be incorporated into the hydrocarbons one or more hydrocarbon-soluble substances such as esters, waxes, petrolatums, resins, mixtures thereof, or the like. Substances of this type may be included in the compositions in amounts of up to about 50 wt.%, based on the total weight of the composition.

The gels of the invention are eminently suitable as suspending and dispersing agents for solids and liquids. It has been discovered that the gel compositions of the invention keep solids and liquids substantially uniformly suspended (evenly dispersed) in numerous applications over substantial periods of time. The solids and liquids may be suspended or dispersed in the gel in amounts of up to 75 wt.%, preferably about 1 to 60 wt.%.

The solids or liquids to be suspended or dispersed in the gel comprises any solid or non-hydrocarbon oil liquid which will disperse into the gel and remains substantially suspended or evenly dispersed therein.

Examples of solids which can be suspended in the gels of the invention comprise zinc oxide, coated zinc oxide, surface-treated zinc oxide, titanium dioxide, coated titanium dioxide, surface-treated titanium phosphorescing substances dioxide, fluorescents, molybdenum okide (a glow-in-the-dark additive available commercially under the tradename Luminova from United Min-ral & Chemical), sulfide, doped 2103 graphite, sulfide, copper postidides, herbicides, materials, explosive air-sensitive memicals or reagents, fungicides, reagents, moisture-sensitive chemicals or nitride, iron oxides, talc, mica, plastics, polymers and polymeric materials, silica, silicon dioxide, aluminum oxide, inorganic materials, organometallic metal particles, phosphorescent compounds,

RNSDOCIO->WO 9838981415

5

10

15

20

25

30

.35

fluorescent materials, medical materials such as antibacterials, antibiotics, antimicrobials, antifungals, and anesthetics, glass, clays, gums, capsules containing various ingredients, starch, modified starches and mixtures thereof.

These applications include, but are not limited to, cosmetics (e.g., pigmented makeups, sunscreens containing physical sunblocks such as titanium dioxide and zinc oxide), agricultural uses (e.g., pesticides, fungicides, herbicides, etc.), and veterinary uses (e.g., dewormers, vaccines, other medicines).

Examples of oil-insoluble liquids which can be suspended in the gels of the invention comprise water, water containing one or more water-soluble materials, glycerin, propylene glycol, butylene glycol, alcohols, acids, surfactants, emulsifiers, polyglycerols, ethers, polar esters, fluorinated compounds, perfluoropolyethers, silicones, silicon-containing compounds, and mixtures thereof.

In a preferred embodiment, the gels can be hydrocarbons, optionally containing one or more hydrocarbon-soluble substances (such as esters, waxes, The gels comprise petrolatums, resins). hydrocarbon, one or more diblock copolymers, one or block and/or multiblock triblock, radial copolymers, or a mixture thereof, and optionally one or more suspended substances. The total polymer content should be from about 0 to 50 wt.%. The gels may be stabilized with suitable stabilizers and/or preservatives, such as vitamin E or BHT. products may be anhydrous or emulsions of oil and water. In both cases, the gels provide exceptional stability.

17

The gel consistency of the invention is controlled by varying the amount, ratio and types of certain polymers, preferably diblock, triblock, radial block and/or multiblock copolymers. The amount of each copolymer and the amount of the mixture contained in the hydrocarbon determines the final form of the

5

10

15

20

25

30

gel. In general, the higher the copolymer content, the stiffer the gel. Additionally, the higher amount of triblock, radial block and/or multiblock copolymer in the polymer blend, the stiffer the blend gel. The gels under the present invention range from thin to stiff, as desired, and are generally transparent gels until opaque additives are added thereto.

is achieved from Product formation copolymers which will form three-dimensional networks or gels through physical crosslinks. Crosslinking in these block copolymers occurs due to the formation of sub-microscopic particles of a particular block, referred to as domains. Crosslinking of the insoluble domains can be obtained by factors affecting the crosslink density of the networks including length of insoluble block domains, length of soluble block domains, and the number of crosslinkable sites. branched or star polymers and other multiblock copolymers will have more crosslinks than triblock or diblock polymers. The type of solvent or plasticizer to which the blocks are subjected will also affect these characteristics.

Certain gels exhibit syneresis wherein the separation of liquid from the gel by contraction occurs by virtue of the concentration of the insoluble block present in the triblock copolymer. The higher the concentration of the insoluble block, as exemplified by styrene, the more phase separation and crosslinking will occur. However, according to this invention, the amount of syneresis which occurs can be controlled by mixing such systems with diblock, triblock, radial block and/or multiblock copolymers which do not exhibit syneresis.

The composition of the invention has the advantage in that the consistency of the gel can be varied from a soft, flowable gel to a stiff gel depending upon the composition of the polymer blend and, as such, is suitable for uses that cannot be made

5

10

15

20

25

30

using particulates and non-self supporting gels or other dispersions of solid particles.

In a particularly preferred embodiment of the invention, the gel comprises a blend of a Kraton⁹ triblock copolymer and a Kraton⁹ diblock copolymer, as described herein, in combination with a hydrocarbon, particularly natural or synthetic hydrocarbons which are known as having a smooth homogeneous consistency. It is preferred under the present invention that the end block to ethylene and butylene center block ratio in the triblock copolymer be less than 31:69. The gels of the invention are prepared by blending into the hydrocarbon one or more triblock, radial block and/or multiblock copolymers, or mixtures thereof, and optionally one or more diblock copolymers, each in the desired amount.

In one method of preparation, the hydrocarbon is first heated to from about 65°C to about 170°C. or more triblock, radial block and/or multiblockcopolymers, or mixtures thereof, and optionally one or more diblock copolymers, each in the desired amount, is then slowly added to the hot hydrocarbon withagitation. The temperature of the mixture is held for a time sufficient to dissolve the copolymer or blend thereof in the hydrocarbon. Mixing may be carried out in any conventional manner, and is again preferred at polymer mix is sufficientlÿ The stage. dissolved, generally in about 30 to 120 minutes, when the hydrocarbon/polymer mixture becomes clear and homogeneous.

The solid or liquid to be dispersed therein is then generally added to the gel in the desired amount at the cooling stage, although in the instance of certain substances, most notably solids, the addition may be advantageously prior to heating. The composition is then allowed to further cool to form a gel.

In another embodiment of the invention, the hydrocarbon is first heated to from about 65°C to

5

10

15

20

25

30

20

25

about 170°C, at which point the copolymer mix is added to the desired weight percent as set forth herein. After sufficient time for the copolymer to melt in the hydrocarbon, the composition is then allowed to cool to form a gel. During cooling, the solid or liquid to be dispersed therein is generally added. Similar variations of the method of the invention and known to the skilled person in light of the present disclosure are within the scope of the present invention.

The non-aqueous, gels of this invention may also contain about 0.01 up to about 5.0 weight percent of one or more conventionally employed additives such as stabilizers, antioxidants, colorants, and the like to an extent not affecting or decreasing the desired properties of the gel, namely the ability of the gel to perform its desired function. With respect to

is generally employed in amounts of about 0.02 weight percent.

The following examples are presented to

antioxidants, specific reference is made to BHT, which

illustrate the invention, and the invention is not to be considered as limited thereto. In the examples, parts are by weight per 100 weight parts of the composition (i.e. weight percent), unless otherwise

indicated.

In these examples, the diblock and triblock polymers used are the preferred Kraton® polymers 1702 and 1650 described above and obtained from Shell Chemical Company. Drakeol 7 is white mineral oil.

30 EXAMPLE 1

Identical makeup foundations were prepared (oil-in-water emulsions), one using a gel based on Drakeol 7 (Gel 1: prepared from 8.30 wt.% Kraton 1702 and 0.40 wt.% Kraton 1650) and one using Drakeol 7. After centrifugation for 1 hour at 7000 rpm, the top and bottom sections of the centrifuged samples were analyzed for iron (pigments are iron oxides). In the makeup prepared with the gel, no difference in iron

-

5

10

15

content was seen. Thus, the pigments did not separate. In the makeup prepared with Drakeol 7, 54% more iron was found in the bottom section than the top, thus indicating that the pigment in this makeup separated upon centrifugation.

Example 2

A sample of 30% titanium dioxide in a mineral oil gel was prepared (30.00 wt.% titanium dioxide + 3.00 wt.% Kraton 1702 + 0.15 wt.% Kraton 1650 + 66.85 wt.% Drakeol 7). This sample had a nice creamy consistency and showed no separation over time.

Example 3

Samples of 10 wt.% titanium dioxide (different samples, different suppliers) in the Gel of Example 1 were prepared and centrifuged at 4000 rpm for 30 minutes. Analysis of the top and bottom sections for titanium oxide showed no separation in any of the samples.

Example 4

Talc was used as a mimic for agricultural actives. Talc was added at 30 vol % (=11.5 wt.%) to Gel 2 (prepared from Drakeol 7, 4.50 wt.% Kraton 1702, and 0.25 wt.% Kraton 1650) and Gel 3 (prepared from Drakeol 7, 6.50 wt.% Kraton 1702, and 0.40 wt.% Kraton 1650). After 18 days at 52°C, no separation of the talc was seen.

Example 5

Again, 11.5 wt.% talc was used in different gels along with surfactants. These surfactants may be required if a blend of the gel and active is diluted with water to make a milky emulsion which is sprayed on a field of crops. The blends shown in the following table were prepared with no separation seen in any sample after 14 days at 52°C.

10

15

20

25

TABLE

	SAMPLE A	SAMPLE B	SAMPLE C	SAMPLE D
Gel 1	70.87. wt%		66.31 wt%	
Gel 4		70.87 wt%		66.31 wt%
Talc	11.48	11.48	11.47	11.47
Igepal CO-610	10.59	10.59		
Arlacel 83	7.06	7.06		
Span 80			4.44	4.44
Tween 80			17.78	17.78

(Note: Gel 4 consists of Drakeol 7 + 7.60 wt% Kraton 1702 + 0.40 Kraton 1650.)

Example 6

To a blend of 50 wt% gelled mineral oil was added 50 wt% coated zinc oxide (Z-Cote HP 1, from sunSmart, Inc.). The resulting suspension of zinc oxide was smooth and creamy, and showed no signs of particle agglomeration, settling, or separation, even after storage at 52 °C for 6 months, and after several freeze-thaw cycles.

Example 7

Gel 2 was prepared from isohexadecane (Permethyl 101A, from Presperse). This gel (40 wt%) was mixed with 60 wt% Z-Cote HP 1 and gave a product in which the zinc oxide particles did not clump or settle.

Example 8

Gel 2 was prepared from hydrogenated polyisobutene (Panalane L-14E, from Amoco Chemical) and was mixed at 50 WT% with Z-Cote HP 1 (at 50 wt%).

10

15

20

Stabilities similar to those seen in Examples 6 and 7 were noted.

Examples 9-11

Gel 2 was prepared using (a) isohexadecane, (b) isododecane (Permethyl 99A, from Presperse), and (c) polydecene (Puresyn 4, from Mobil). In each example, 50 wt% of Z-Cote HP 1 was blended with 50 wt% of the gel. Agglomeration was not seen in any example, and each suspension had a smooth consistency with no signs of separation.

In the above Table, Igepal CO-610, Aracel 83, Span 80, and Tween 80 are surfactants available commercially under these tradenames.

While the above examples show that the gels of the invention are useful for suspension of solid particles in an emulsion, it should also be understood that the gels of the invention are also excellent suspending and dispersing agents when formulated into water and oil emulsions. Such emulsions include oil-in-water emulsions, water-in-oil emulsions, and multiple emulsions such as water-in-oil-in-water emulsions.

10

15

What Is Claimed Is:

- A hydrocarbon gel composition having solids or liquids suspended or dispersed therein, said composition comprising:
- (a) from about 20 to about 95 weight percent of a hydrocarbon, optionally comprising one or more hydrocarbon-soluble substances;
- (b) a polymeric gel component comprising from about 0.1 to about 50 weight percent of one or more diblock copolymers, one or more triblock, radial block and/or multiblock copolymers, or a mixture thereof, comprising from about 0 to about 100 weight percent said of one or more diblock copolymer and from about 100 to about 0 weight percent of said one or more triblock, radial block and/or multiblock copolymer; and
- (c) a suspended or dispersed component comprising from about 0.01 to about 75 weight percent of one or more solids and/or liquids suspended or dispersed therein.
- 2. A gel composition according to claim 1 wherein the hydrocarbon has a vapor pressure of up to 600 mg Hg at 20 $^{\circ}$ C.
- 3. A gel composition according to claim 1 wherein said gelling component comprises about 0.1 to 25 weight percent of one or more triblock, radial block and/or multiblock copolymers, and from about 70 to about 99.9 weight percent of one or more diblock copolymers.
- 4. A gel composition according to claim 1 which comprises about 0.1 to 10 weight percent of one or more triblock, radial block, and/or multiblock copolymers, and from about 70 to about 99.9 weight percent of one or more diblock copolymers.

5

5

5

- 5. A gel composition according to claim 1 wherein the total weight of said polymeric gel component in said hydrocarbon is from about 0.5 to about 30 weight percent.
- 6. A gel composition according to claim 1 wherein said hydrocarbon is selected from the group consisting of mineral oils, mineral solvents, petrolatum, waxes, mineral spirits, synthetic hydrocarbons, animal oils, vegetable oils, and mixtures thereof.
- 7. A gel composition according to claim 1 which also comprises one or more hydrocarbon-soluble substances selected from the group consisting of esters, waxes, petrolatums, resins, and mixtures thereof in amounts of up to 50 weight percent, based on the total weight of the composition.
- 8. A gel composition according to claim 1 wherein said solid or liquid suspended therein comprises a solid and/or non-hydrocarbon oil liquid which is dispersed in said gel and remains substantially suspended or evenly dispersed therein.
- 9. A gel composition according to claim 8 wherein said solid or liquid comprises a member selected from the group consisting of personal care ingredient, agricultural substances and veterinary medicines.
- 10. A gel composition according to claim 9 wherein said personal carangredient comprises pigmented makeup, sunscreens. It sunblocks.
- 11. A gel composition according to claim 9 wherein said agricultural substances comprises pesticides, fungicides, or herbicides.

- 12. A gel composition according to claim 9 wherein said veterinary medicine comprises dewormers, vaccines or other medicines.
- 13. A gel composition according to claim 1 which also comprises an effective amount of one or more stabilizers, preservatives, antioxidants, phosphorescing substances, or colorants.
- 14. A gel composition according to claim 1 in the form of a soft flowable gel.
- 15. A gel composition according to claim 1 in the form of a stiff self-supporting gel.
- 16. A water-in-oil emulsion comprising a gel composition according to claim 1 and sufficient water to form said water-in-oil emulsion, and one or more emulsifying agents.
- 17. A water-in-oil emulsion comprising a gel composition according to claim 1 and sufficient water to form said oil-in-water emulsion, and one or more emulsifying agents.
- 18. A gel composition according to claim 1 wherein said polymeric gel component comprises a blend of an S-EB-S triblock copolymer and on S-EP diblock copolymer.
- 19. A gel composition according to claim 1 wherein said suspended or dispersed component is a solid selected from the group consisting of zinc oxide, coated zinc oxide, surface-treated zinc oxide, titanium dioxide, surface-treated titanium dioxide, phosphorescent substances, molybdenum oxide, zinc sulfide, copper-doped zinc sulfide, graphite, explosive materials, pesticides, herbicides, fungicides, air-sensitive chemicals or reagents,

- moisture-sensitive chemicals or reagents, boron nitride, iron oxides, talc, mica, plastics, polymers and polymeric materials, silica, silicon dioxide, aluminum oxide, inorganic materials, organometallic compounds, metal particles, phosphorescent or fluorescent materials, medical materials such as antibacterials, antibiotics, and anesthetics, glass, clays, gums, capsules containing various ingredients, starch, modified starches, and mixtures thereof.
 - wherein said suspended or dispersed component is a liquid selected from the group consisting of water, water containing one or more water-soluble materials, glycerin, propylene glycol, butylene glycol, alcohols, acids, surfactants, emulsifiers, polyglycerols, ethers, polar esters, fluorinated compounds, perfluoropolyethers, silicones, silicon-containing compounds, and mixtures thereof.
 - A gel composition according to claim 1 wherein said suspended or dispersed component is a solid selected from the group consisting of zinc oxide, coated zinc oxide, surface-treated zinc oxide, titanium dioxide, surface-treated titanium dioxide, phosphorescent substances, molybdenum oxide, sulfide, copper-doped zinc sulfide, graphite, materials, pesticides, herbicides, explosive air-sensitive chemicals or reagents, fungicides, moisture-sensitive chemicals or reagents, nitride, iron oxides, talc, mica, plastics, polymers and polymeric materials, silica, silicon dioxide, aluminum oxide, inorganic materials, organometallic particles, phosphorescent compounds, metal fluorescent materials, medical materials such as antibacterials, antibiotics, and anesthetics, glass, clays, gums, capsules containing various ingredients, starch, modified starches, liquids selected from the group consisting of water, water containing one or

10

more water-soluble materials, glycerin, propylene glycol, butylene glycol, alcohols, acids, surfactants, emulsifiers, polyglycerols, ethers, polar esters, fluorinated compounds, perfluoropolyethers, silicones, silicon-containing compounds, and mixtures thereof, and mixtures of solids and liquids.

BNSDOCID: WO 9838981A1

Intel 3nat Application No PCT/US 98/03978

IPC 6	A61K7/48 A01N25/04		
	International Patent Classification (IPC) or to both national classif	ication and IPC	
B. FIELDS	SEARCHED cumentation searched (classification system followed by classification system followed by classifi	the symbols	···
IPC 6	A61K A01N	tion sympols)	•
Oocumentat	ion searched other than minimum documentation to the extent that	such documents are included in the fields sea	irched
Electronic da	ata base consulted during the international search (name of data	base and, where practical, search terms used)	
	• ,		
	ENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the	elevant passages	Relevant to claim No.
x	US 5 221 534 A (P.J.DESLAURIERS		1-11.
	W.J.HEILMAN) 22 June 1993	,	13-15,
	cited in the application see claims 1-9		18-21
	see column 3, line 8-23		
	see column 4, line 60 - column	7, line 12	
	see column 7, line 28-45		
Х	WO 94 12190 A (PENNZOIL PRODUCT	S) 9 June	1-10,
	1994		13-15,
1	see claims 1-13	•	19-21
	see page 8, line 32 - page 9, 1	ine 24	
	see page 12, line 1-26 see page 13, line 3-22		
	see page 14, line 4-22		
	see example 6		
		-/	
		,	
X Fun	ther documents are listed in the continuation of box C.	X Patent family members are listed	n annex.
* Special ca	alegories of cited documents :		
	ent defining the general state of the art which is not	"T" later document published after the inte- or priority date and not in conflict with cited to understand the principle or th	the application but
"E" earlier	dered to be of particular relevance document but published on or after the international	invention "X" document of particular relevance; the	, ,
	ent which may throw doubts on priority claim(s) or	cannot be considered novel or cannol involve an inventive step when the do	be considered to
citatio	n is cited to establish the publication date of another on or other special reason (as specified)	"Y" document of particular relevance: the considered to involve an in	ventive step when the
other	nent referring to an oral disclosure, use, exhibition or means	document is combined with one or me ments, such combination being obvio	
"P" docum	tent published prior to the international filing date but than the pnormy date claimed	in the art. "&" document member of the same patent	family
Date of the	actual completion of theinternational search	Date of mailing of the international sea	rcn report
	9 July 1998	21.07.98	
	mailing aggress of the ISA	Authorized officer	
, taile and	European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk	Additional district	
	Tel. (+31-70) 340-2040. Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Peeters, J	

Inter unal Application No PCT/US 98/03978

		FC1/02 38/033/8
C.(Continue	NION) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Resevant to claim No.
X	US 5 558 872 A (D.P.JONES, W.H.WOLLER, C.W.JEWETT) 24 September 1996	1-9, 13-15, 18-21
	see claims 1-10 see column 2, line 38 - column 4, line 32	
Ρ,Χ	WO 97 31623 A (PENNZOIL PRODUCTS) 4 September 1997	1-9, 11-15, 18-21
	see claims 1-11 see page 6, line 10-18 see page 7, line 1 - page 8, line 15 see page 9, line 24-32 see page 10, line 19 - page 14, line 11 see page 15, line 17-26	
Ρ,Χ	WO 97 29842 A (PENNZOIL PRODUCTS) 21 August 1997	1-9. 13-15. 18-21
	see claims 1-11 see page 3, line 24-33 see page 4, line 5-14 see page 5, line 19 - page 6, line 35 see page 7, line 15 - page 8, line 6	10-21
٠.		
	·	

li...emanonai application No

PCT/US 98/03978

Box I Observations whire certain claims wer found unsearchable (Continuation of Item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. X Claims Nos.: 2 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically: Claim 2 is dealing with physical parameters and search on physical parameters is not possible.
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant s protest. No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (1)) (July 1992)

information on patent family members

PCT/US 98/03978

Patent document cited in search report	!	Publication date	Patent family member(s)	Publication date
US 5221534	Α	22-06-1993	NONE	
WO 9412190	A	09-06-1994	CA 2128423 A DE 626855 T EP 0626855 A GR 95300037 T JP 7504441 T	09-06-1994 03-08-1995 07-12-1994 30-06-1995 18-05-1995
US 5558872	Α	24-09-1996	NONE	
WO 9731623	Α	04-09-1997	US 5705175 A AU 1964797 A	06-01-1998 16-09-1997
WO 9729842	Α	21-08-1997	AU 2120597 A	02-09-1997

Form PCT/ISA/210 (patent family annex) (July 1992)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	t.s	Lesotho	SI	Slovenia
AM	Armenia	PE	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV ·	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
ВG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	Œ	Ireland.	MN	Mongolia	UA	Ukraine
BR	Brazil	(L	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of Americ
CA	Canada	(T	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	КZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

10

15

20

25

21 AMENDED CLAIMS

[received by the International Bureau on 18 September 1998 (18.09.98); original claims 1-21 replaced by amended claims 1-20 (5 pages)]

- 1. A hydrocarbon gel composition having solids or liquids suspended or dispersed therein, said composition comprising:
- (a) from about 20 to about 95 weight percent of a hydrocarbon, optionally comprising one or more hydrocarbon-soluble substances;
- (b) a polymeric gel component comprising from about 0.1 to about 50 weight percent of one or more diblock copolymers, one or more triblock, radial block and/or multiblock copolymers, or a mixture thereof, comprising from about 0 to about 100 weight percent said of one or more diblock copolymer and from about 100 to about 0 weight percent of said one or more triblock, radial block and/or multiblock copolymer;
- dispersed component suspended or(c) comprising from about 0.01 to about 75 weight percent of one or more solids and/or liquids suspended or dispersed therein, wherein said liquids are selected from the group consisting of water containing one or more water-soluble materials, glycerin, glycol, butylene glycol, alcohols, acids, surfactants, polyglycerols, ethers, fluorinated emulsifiers, compounds, perfluoropolyethers, silicones, silicon-containing compounds, and mixtures thereof.
- 2. A gel composition according to claim 1 wherein the hydrocarbon has a vapor pressure of up to 600 mg Hg at 20 °C.
- 3. A gel composition according to claim 1 wherein said gelling component comprises about 0.1 to 25 weight percent of one or more triblock, radial block and/or multiblock copolymers, and from about 70 to about 99.9 weight percent of one or more diblock copolymers.

5

5

5

- 4. A gel composition according to claim 1 which comprises about 0.1 to 10 weight percent of one or more triblock, radial block, and/or multiblock copolymers, and from about 70 to about 99.9 weight percent of one or more diblock copolymers.
- 5. A gel composition according to claim 1 wherein the total weight of said polymeric gel component in said hydrocarbon is from about 0.5 to about 30 weight percent.
- 6. A gel composition according to claim 1 wherein said hydrocarbon is selected from the group consisting of mineral oils, mineral solvents, petrolatum, waxes, mineral spirits, synthetic hydrocarbons, animal oils, vegetable oils, and mixtures thereof.
- 7. A gel composition according to claim 1 which also comprises one or more hydrocarbon-soluble substances selected from the group consisting of esters, waxes, petrolatums, resins, and mixtures thereof in amounts of up to 50 weight percent, based on the total weight of the composition.
- 8. A gel composition according to claim 1, wherein said solid or liquid suspended therein comprises a solid and/or non-hydrocarbon oil liquid which is dispersed in said gel and remains substantially suspended or evenly dispersed therein.
- 9. A gel composition according to claim 8 wherein said solid or liquid comprises a member selected from the group consisting of personal care ingredient, agricultural mastances and veterinary medicines.

WO 98/38981 PCT/US98/03978

^23

- 10. A gel composition according to claim 9 wherein said personal care ingredient comprises pigmented makeup, sunscreens, or sunblocks.
- 11. A gel composition according to claim 9 wherein said agricultural substances comprises pesticides, fungicides, or herbicides.
- 12. A gel composition according to claim 9 wherein said veterinary medicine comprises dewormers, vaccines or other medicines.
- 13. A gel composition according to claim 1 which also comprises an effective amount of one or more stabilizers, preservatives, antioxidants, phosphorescing substances, or colorants.
- 14. A gel composition according to claim 1 in the form of a soft flowable gel.
- 15. A gel composition according to claim 1 in the form of a stiff self-supporting gel.
- 16. A water-in-oil emulsion comprising a gel composition according to claim 1 and sufficient water to form said water-in-oil emulsion, and one or more emulsifying agents.
- 17. A water-in-oil emulsion comprising a gel composition according to claim 1 and sufficient water to form said oil-in-water emulsion, and one or more emulsifying agents.
- 18. A gel composition according to claim 1 wherein said polymeric gel component comprises a blend of an S-EB-S triblock copolymer and on S-EP diblock copolymer.

10

19. A gel composition according to claim 1 wherein said suspended or dispersed component is a solid selected from the group consisting of zinc oxide, coated zinc oxide, surface-treated zinc oxide, titanium dioxide, surface-treated titanium dioxide, phosphorescent substances, molybdenum oxide, graphite, sulfide, sulfide, copper-doped zinc herbicides, pesticides, materials, explosive air-sensitive chemicals or reagents, fungicides, moisture-sensitive chemicals or reagents, nitride, iron oxides, talc, mica, plastics, polymers and polymeric materials, silica, silicon dioxide, aluminum oxide, inorganic materials, organometallic phosphorescent particles, metal compounds, fluorescent materials, medical materials such as 15 antibacterials, antibiotics, and anesthetics, glass, clays, gums, capsules containing various ingredients, starch, modified starches, and mixtures thereof.

A gel composition according to claim 1 wherein said suspended or dispersed component is a solid selected from the group consisting of zinc oxide, coated zinc oxide, surface-treated zinc oxide, titanium dioxide, surface-treated titanium dioxide, phosphorescent substances, molybdenum oxide, zinc graphite, sulfide, zinc sulfide, copper-doped materials, pesticides, herbicides, explosive air-sensitive chemicals or reagents, fungicides, moisture-sensitive chemicals or reagents, nitride, iron oxides, talc, mica, plastics, polymers and polymeric materials, silica, silicon dioxide, aluminum oxide, inorganic materials, organometallic phosphorescent particles, metal compounds, fluorescent materials, medical materials such as antibacterials, antibiotics, and anesthetics, glass, clays, gums, capsules containing various ingredients, starch, modified starches, liquids selected from the group consisting of water, water containing one or more water-soluble materials, glycerin, propylene

10

15

glycol, butylene glycol, alcohols, acids, surfactants, emulsifiers, polyglycerols, ethers, polar esters, fluorinated compounds, perfluoropolyethers, silicones, silicon-containing compounds, and mixtures thereof, and mixtures of solids and liquids.

AMENDED SHEET (ARTICLE 19)

THIS PAGE BLANK (USPTO)